UCB Asset Management Limited

UCB Taqwa Growth Fund

APPLICATION FORM TO PURCHASE UNITS – INDIVIDUAL

(Please Read Instructions Overleaf; fill up the Form in Block Letters)

To
Managing Director & CEO
UCB Asset Management Limited
Bulus Centre, Plot-CWS-(A)-1,
Road No-34, Gulshan Avenue, Dhaka-1212

FOR OFFICE USE ONLY
Registration No: ..... Date: / /
Sale No: .....
Selling Agent's Name: .....

I/We enclose Taka ..... (in word) ..... Taka vide Cheque /P.O. /D.D. No. ..... Bank ..... Branch ..... dated ..... and request you to sell ..... units of UCB Taqwa Growth Fund, at the price of Tk ..... per unit. I/We am/are giving necessary information as follows:

A. PRINCIPAL APPLICANT

Registration No. (For existing unit holder only): .....
Name: .....
Father's/Husband's Name: .....
Mother's Name: .....
Date of Birth: ..... Occupation: .....
Nationality: ..... National ID No: .....
Address: .....
BO A/C: ..... Mobile No.: .....
E-mail: ..... Bank: .....
Branch: ..... A/C No.: ..... ETIN No.: .....

Passport Size Photo

Dividend Option: ▢ Cash ▢ CIP

B. JOINT APPLICANT

Name: .....
Father's/Husband's Name: .....
Mother's Name: .....
Date of Birth: ..... Occupation: .....
Nationality: ..... National ID No: .....
Address: .....
Telephone No: ..... Fax No.: .....
E-mail: ..... Signature: .....

Passport Size Photo

C. NOMINEE

Name: .....
Relationship with Principal Applicant: .....
Date of Birth: ..... National ID No: .....
Mobile No.: .....

Passport Size Photo

Signature of Applicants

Principal Applicant | Joint Applicant | Nominee

ACKNOWLEDGEMENT (FOR OFFICE USE ONLY)

Certified that this selling agent/bank has received cheque/P.O./D.D No. ..... Bank ..... Branch ..... for an amount of BDT ..... (in word) ..... from ..... being the application money for ..... Units of UCB Taqwa Growth Fund.

Signature of Issuing Office

TERMS AND CONDITIONS

1) The Units of UCB Taqwa Growth Fund, hereinafter referred to as 'The Fund', may be bought and surrendered through UCB Asset Management Limited and authorized Selling Agents appointed by UCB Asset Management Limited from time to time.

2) Application may be made by an individual (both resident and non-resident), a corporation or company (both local and foreign), a trust society (registered in or outside of Bangladesh) and not by a minor or of unsound mind.

3) Joint application is acceptable by two persons. Registration and Unit Allocation will be in favor of the Principal Applicant while dividend and other benefits, if any, will be addressed to the bank account of principal applicant mentioned in the application form. In case of the death of any of the joint holders, only the survivor shall be recognized as having any title of the Units. On death of both of the joint holders, the Units will bestow upon the Nominee.

4) Minimum purchase quantity for individual investors is 500 (five hundred) Units and for institutional investors is 5,000 (five thousands) Units.

5) Application for purchase of Units should be accompanied by a crossed cheque/pay order/bank draft in favor of "UCB Taqwa Growth Fund".

6) After clearance/ encashment of cheque/draft/pay order, the applicant will be allocated Units of the Fund against every purchase with a denomination of number of units the institutional investor applies for. The Units will also be delivered to the unit holder's BO A/C in demat form.

7) Partial surrender is allowed subject to minimum surrender quantity of 500 (five hundred) Units both for individuals and institutions. Upon partial surrender, the Unit Holder will be issued with a new Confirmation of Unit Allocation representing the balance of Unit Holding.

8) The Units may be transferred by way of inheritance/gift and/or by specific operation of the law. In case of transfer, the Fund will charge a nominal fee as decided by the Asset Manager from time to time except in the case of transfer by way of inheritance.

9) Unit Holders may split their Unit Certificates subject to minimum denomination of 500 (five hundred) units. In case of a split, the Fund will charge a nominal fee as decided by UCB Asset Management Limited from time to time.

10) Dividends may be delivered in cash or by way of Units under Cumulative Investment Plan (CIP) as instructed in the application form.

11) All payments/receipts in connection with or arising out of transactions in the Units hereby applied for shall be in Bangladeshi taka.

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Registration No.: .....

Date: .... Sale No.: ....

No. of Units: .... Unit Allocation Confirmation No.: ....

Sign and Seal of Issuing Office

I/we confirm that I/we have received the unit allocation confirmation mentioned above and also that I/we have read, understood and agree to abide by the terms set out above as may be altered, replaced and modified from time to time by UCB Asset Management Limited.

Signature of Applicants

Principal Applicant

Joint Applicant