UCB Asset Management Limited

UCB Income Plus Fund

APPLICATION FORM TO PURCHASE UNITS – INDIVIDUAL

To
Managing Director & CEO
UCB Asset Management Limited
Bulus Centre, Plot-CWS-(A)-1,
Road No-34, Gulshan Avenue, Dhaka-1212

FOR OFFICE USE ONLY
Registration No: ..... Date: / /
Sale No: .....
Selling Agent's Name: .....

I/We enclose Taka ..... (in word) .....,

Taka vide Cheque /P.O. /D.D. No. ..... Bank .....

Branch ..... dated ..... and request you to sell ..... units of

UCB Income Plus Fund, at the price of Tk ..... per unit. I/We am/are giving necessary information as follows:

A. PRINCIPAL APPLICANT

Registration No. (For existing unit holder only): .....

Name: .....

Father's/Husband'sName: .....

Mother'sName: .....

Date of Birth: ..... Occupation: .....

Nationality: ..... National ID No: .....

Address: .....

BO A/C: ..... Mobile No.: .....

E-mail: ..... Bank: .....

Branch: ..... A/C No.: ..... ETIN No.: .....

Dividend Option: ▢ Cash ▢ CIP

Passport Size Photo

B. JOINT APPLICANT

Name: .....

Father's/Husband'sName: .....

Mother'sName: .....

Date of Birth: ..... Occupation: .....

Nationality: ..... National ID No: .....

Address: .....

Telephone No: ..... Fax No.: .....

E-mail: ..... Signature: .....

Passport Size Photo

C. NOMINEE

Name: .....

RelationshipwithPrincipalApplicant: .....

Date of Birth: ..... National ID No: .....

Mobile No.: .....

Passport Size Photo

Signature of Applicants

Principal Applicant | Joint Applicant | Nominee

ACKNOWLEDGEMENT (FOR OFFICE USE ONLY)

Certified that this selling agent/bank has received cheque/P.O./D.D No. ..... Bank .....

Branch ..... for an amount of BDT ..... (in word) .....

from ..... being the application money for ..... Units of UCB Income Plus Fund.

Signature of Issuing Office

TERMS AND CONDITIONS

1) The Units of UCB Income Plus Fund, hereinafter referred to as 'The Fund', may be bought and surrendered through UCB Asset Management Limited and authorized Selling Agents appointed by UCB Asset Management Limited from time to time.

2) Application may be made by an individual (both resident and non-resident), a corporation or company (both local and foreign), a trust society (registered in or outside of Bangladesh) and not by a minor or of unsound mind.

3) Joint application is acceptable by two persons. Registration and Unit Allocation will be in favor of the Principal Applicant while dividend and other benefits, if any, will be addressed to the bank account of principal applicant mentioned in the application form. In case of the death of any of the joint holders, only the survivor shall be recognized as having any title of the Units. On death of both of the joint holders, the Units will bestow upon the Nominee.

4) Minimum purchase quantity for individual investors is 500 (five hundred) Units and for institutional investors is 5,000 (five thousands) Units.

5) Application for purchase of Units should be accompanied by a crossed cheque/pay order/bank draft in favor of "UCB Income Plus Fund".

6) After clearance/ encashment of cheque/draft/pay order, the applicant will be allocated Units of the Fund against every purchase with a denomination of number of units the individual investor applies for. The Units will also be delivered to the unit holder's BO A/C in demat form.

7) Partial surrender is allowed without any additional cost subject to minimum surrender quantity is 500 Units for individuals and 5,000 units for institutions.

8) The Units may be transferred by way of inheritance/gift and/or by specific operation of the law. In case of transfer, the Fund will charge a nominal fee

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Registration No.: .....

Date: ..... Sale No.: .....

No. of Units: ..... Unit Allocation Confirmation No.: .....

Sign and Seal of Issuing Office

I/we confirm that I/we have received the unit allocation confirmation mentioned above and also that I/we have read, understood and agree to abide by the terms set out above as may be altered, replaced and modified from time to time by UCB Asset Management Limited.

Signature of Applicants

Principal Applicant

Joint Applicant